

Conference on the Future of Securities Regulation
University of Notre Dame

Thursday, April 23rd

Speaker	Topic	Discussant
Thursday 4/23 Lunch 12:00 - 2:00 Morris Inn		
Steve Kaplan (University of Chicago)	Corporate Governance	
2:15 - 3:45 Jordan Auditorium Session Chair: Matthew Cain (University of Notre Dame)		
Fabrizio Ferri (Harvard)	Say on Pay Vote and CEO Compensation: Evidence for the U.K.	Gennaro Bernile (SEC)
Utpal Bhattacharya (Indiana)	Do They Do It for the Money	Arthur Laby (Rutgers)
4:00 - 5:30 Jordan Auditorium Session Chair: Paul Schultz (University of Notre Dame)		
Maureen O'Hara (Cornell)	Helping Law Catch Up to markets: Applying Broker-Dealer Law to Subprime Mortgages	
Frank Hatheway (Nasdaq)	TBA	
Mike Cahill (Options Clearing Corp.)	TBA	
Dinner at LaSalle Grill, 6:30 - 9:00 Speaker: Erik Sirri, Director, SEC Division of Trading and Markets		

Friday April 24th

Speaker	Topic	Discussant
Friday 4/24 8:30 - 10:00 Room 162 COBA Session Chair: Robert Battalio (University of Notre Dame)		
Charles Jones (Columbia)	Shackling Short Sellers: The 2008 Shorting Ban	Tim McCormick (SEC)
Rene Stulz (Ohio State)	Securities Law, Disclosure, and National Capital Markets	
10:15 - 11:45 Room 162 COBA Session Chair: Jennifer Westberg (SEC)		
Chester Spatt (Carnegie)	Credit Rating Shopping, Selection and the Equilibrium Structure of Ratings	Tom George (Houston)
James Vickery (NY Fed)	Incentives and the Rating of Mortgage Backed Securities	Chuck Trzcinka (Indiana)
Lunch 12:00 - 1:45 Morris Inn		
Jim Overdahl (SEC)	Directions for Academic Research	
2:00 - 3:30 Room 162 COBA Session Chair: Shane Corwin (University of Notre Dame)		
Jeffrey Ng (MIT)	Market Pricing of Banks' Fair Value Assets Reported Under SFAS 157 During the 2008 Economic Crisis	Sharon Brown-Hruska (NERA)
John Griffin (Texas)	What Drove the Mismatch Between Initial CDO Credit Ratings and Subsequent Performance	Jerry Dwyer (Atlanta Fed)